

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Richard GEISS *et al.*RECEIVED
CENTRAL FAX CENTER

MAY 11 2005

Serial No. 09/832,980

Group Art Unit: 2643

Filed: April 12, 2001

Examiner: PHAM, Tuan

For: Method and Apparatus for Connecting Broadband Voice and Data Signals to Telephone Systems

Customer No. 33361

Confirmation No. 9734

The Honorable Commissioner of
Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450
U.S.A.THE COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231, U.S.A.SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(c)

Sir:

To comply with applicants' duty of disclosure under 37 CFR 1.56, Form PTO-1449, listing documents known to applicant, is submitted herewith.

- ☒ Copies of the listed documents are enclosed.
- ☐ This is a continuing application and copies of the documents were submitted in respect of the parent application No.
- ☐ A copy of ... is not included because it is cumulative with

Certification - 37 CFR 1.97(e)(1)

☒ I hereby certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign/international application not more than three months prior to the filing of this Statement.

☐ I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the applicant.

known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Relevance of information not in English - 37 CFR 1.98(a)(3)

- ☐ To comply with 37 CFR 1.98(a)(3), a copy of US patent number is submitted herewith, such US patent being cited in a family of patents corresponding to patent number listed in the form PTO 1449.
- ☐ Document # discloses
- ☐ The relevance of is discussed in the specification.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialled copy of form PTO-1449 be returned in accordance with MPEP Sec. 609.

Fee under 37 CFR 1.97(c)(2) and 37 CFR 1.17(p)

- ☐ The petition fee is enclosed. If the amount is incorrect, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 20-0771.
- ☐ The Commissioner is hereby authorized to deduct the petition fee under 37 CFR 1.117(p) from Deposit Account No. 20-0771.

Respectfully submitted

May 11, 2005

Date

P.O. Box 11100, Station H
Ottawa, Ontario
Canada K2H 7T8
Phone: (613) 254 9111
Docket No. AP1103115



THOMAS ADAMS
Reg. No. 31078

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872 9306, on the date shown below.

11th May 2005
Date

Thomas Adams
Thomas Adams

PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

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| Application Number | 09/832,980 |
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| Filing Date | April 12, 2001 |
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| First Named Inventor | Richard GEISS |
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| Art Unit | 2643 |
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| Examiner Name | PHAM, Tuan |
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| Attorney Docket Number | AP1103US |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|-----------------------------------|--|--|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant or Cited Document | Pages, Column, Lines, Where Relevant Passages Or Relevant Figures Appear | T ² |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | A | EP 693 846 A2 | 01-24-98 | Harris Corp | | |
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| Examiner Signature | Date Considered |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-09)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| First Named Inventor | Richard GEISS |
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| Examiner Name | PHAM, Tuan |
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| Attorney Docket Number | AP1103US |
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| Sheet | 2 | of | 2 |
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NON PATENT LITERATURE DOCUMENTS

[illegible]

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| Examiner Signature | Date Considered |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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